

# Anti-Money Laundering

## *Central Point of Contact of the National Bank of Belgium*

### IMPORTANT INFORMATION FROM THE BANK OF NEW YORK MELLON SA/NV

***The Bank of New York Mellon SA/NV (our “European Bank”) is legally required<sup>1</sup> to disclose certain information to a central registry in Belgium (the “Central Point of Contact” or “CPC”), that is organized by the National Bank of Belgium (the “NBB”).***

#### LEGAL OBLIGATION FOR THE EUROPEAN BANK

Data the European Bank is required to disclose to the CPC pertains to:

- Clients that have opened a cash account with the European Bank Head Office in Belgium;
- Third parties having the authority to instruct on such an account; and
- Clients that have entered into certain types of investment services contracts (i.e., contracts relating to certain MiFID investment services, including dealing on own account and ancillary services, such as safekeeping and administration of financial instruments and foreign exchange services) or a credit contract with the European Bank Head Office

The European Bank is required to disclose the following types of data to the CPC:

- Data identifying the client or the third party (e.g., by Belgian company registration number or legal name, company form and country of residence)
- The opening and closing dates of cash accounts (including Belgian IBAN codes)
- The start and termination dates of any third party’s authority over client cash accounts
- The amount standing to the credit of the relevant cash accounts on June 30, and December 31, of each calendar year
- The start and termination dates of each investment services or credit contract
- The “aggregate amount” of such investment services contracts, i.e., the value of the assets under custody and the European Bank’s liabilities to clients under those contracts on June 30, and December 31, of each calendar year

<sup>1</sup>Law of 8 July 2018 organising a central point of contact of accounts and financial contracts and extending access to the central register of notices of attachment, delegation, assignment, collective debt settlement and protest (Wet van 8 juli 2018 houdende organisatie van een centraal aanspreekpunt van rekeningen en financiële contracten en tot uitbreiding van de toegang tot het centraal bestand van berichten van beslag, delegatie, overdracht, collectieve schuldenregeling en protest/Loi du 8 juillet 2018 portant organisation d’un point de contact central des comptes et contrats financiers et portant extension de l’accès au fichier central des avis de saisie, de délégation, de cession, de règlement collectif de dettes et de protêt).

Royal decree of 7 April 2009 relating to the functioning of the central point of contact of accounts and financial contracts (Koninklijk besluit van 7 april 2009 betreffende de werking van het centraal aanspreekpunt van rekeningen en financiële contracten/Arrêté royal du 7 avril 2009 relatif au fonctionnement du point de contact central des comptes et contrats financiers).

## PURPOSE OF THE CPC

The CPC records the data communicated to it by the European Bank. Under strict conditions, the CPC may disclose such data to the Belgian tax authorities and other authorities and persons that are legally entitled to request information from the CPC. The data may be used in the context of (i) tax-related inquiries, (ii) the investigation of criminal offences, (iii) the combatting of money laundering, terrorist financing and serious criminal offences, and (iv) for any other purpose authorised under Belgian law.

## RIGHT TO REQUEST AND CORRECT YOUR DATA

Any impacted client or third party has the right to request from the CPC, any data recorded in their name. They may do so by visiting the [NBB's website](#) and following the stipulated process.

## RETENTION PERIOD

The CPC keeps a list of the information requests it receives for five years

The CPC will retain the data for 10 years starting from the end of:

- The calendar year during which the European Bank communicated to the CPC that:
  - The relevant client no longer holds any client cash account with the European Bank Head Office
  - The European Bank Head Office no longer has any investment services or credit contract with the relevant client
  - The third party no longer has the authority to instruct on clients' cash accounts opened at the European Bank's Head Office
- With regard to data identifying the client or the third party, the last calendar year of an uninterrupted period of 10 calendar years during which no reportable data is recorded in the CPC in respect of that client or third party
- With regard to the amounts standing to the credit of the cash account and the aggregate amount of the investment services contract, the calendar year to which the reported data relates

After the expiry of the retention period, the data will be irreversibly deleted

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## ABOUT THE CENTRAL REGISTRY

*CPC (Centraal Aanspreekpunt/Point de contact central)*

*Nationale Bank van België, Centraal Aanspreekpunt/Banque Nationale de Belgique, Point de Contact Central*

*de Berlaimontlaan 14/boulevard de Berlaimont 14*

*1000 Brussel/1000 Bruxelles*

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